Applicant: Michael R. Krause et al.

Serial No.: 09/578,019 Filed: May 24, 2000 Docket No.: 10991834-2 Title: RELIABLE MULTICAST

REMARKS

The following remarks are made in response to the Office Action mailed September 19, 2005. Claims 1, 3-30, and 32-53 were rejected. With this Response, claims 1 and 29 have been amended. Claims 1, 3-30, and 32-53 remain pending in the application and are presented for reconsideration and allowance.

Claim Rejections under 35 U.S.C. § 103

The Examiner rejected claims 1, 3, 4, 9-11, 15, 16, 22, 29, 30, 32, 33, 41, 42, and 46 under 35 U.S.C. § 103(a) as being unpatentable over the Miller et al. U.S. Patent No. 6,151,696 in view of the Nessett et al. U.S. Patent No. 5,968,176 in further view of the Van Loo et al. U.S. Patent No. 6,064,672 in further view of the Ruszczyk U.S. Patent No. 6,205,150.

The Examiner rejected claims 5-8, 18-20, 34-37, and 44 under 35 U.S.C. § 103(a) as being unpatentable over the Miller et al. U.S. Patent No. 6,151,696, the Nessett et al. U.S. Patent No. 5,968,176, the Van Loo et al. U.S. Patent No. 6,064,672, and the Ruszczyk U.S. Patent No. 6,205,150, and in further view of the Block et al. U.S. Patent No. 6,192,417.

The Examiner rejected claims 12 and 38 under 35 U.S.C. § 103(a) as being unpatentable over the Miller et al. U.S. Patent No. 6,151,696, the Nessett et al. U.S. Patent No. 5,968,176, the Van Loo et al. U.S. Patent No. 6,064,672, and the Ruszczyk U.S. Patent No. 6,205,150 in further view of the Hamilton et al. U.S. Patent No. 6,392,993.

The Examiner rejected claims 13, 14, 17, 39, 40, and 43 under 35 U.S.C. § 103(a) as being unpatentable over the Miller et al. U.S. Patent No. 6,151,696, the Nessett et al. U.S. Patent No. 5,968,176, the Van Loo et al. U.S. Patent No. 6,064,672, and the Ruszczyk U.S. Patent No. 6,205,15, and in further view of the Muller et al. U.S. Patent No. 6,256,740.

The Examiner rejected claims 21 and 23 under 35 U.S.C. § 103(a) as being unpatentable over the Miller et al. U.S. Patent No. 6,151,696, the Nessett et al. U.S. Patent No. 5,968,176, the Van Loo et al. U.S. Patent No. 6,064,672, and the Ruszczyk U.S. Patent No. 6,205,150, and in further view of the VanDoren et al. U.S. Patent No. 6,279,084.

The Examiner rejected claims 24, 26, 27, 47, 51, and 52 under 35 U.S.C. § 103(a) as being unpatentable over the Miller et al. U.S. Patent No. 6,151,696, the Nessett et al. U.S. Patent No. 5,968,176, the Van Loo et al. U.S. Patent No. 6,064,672, the Ruszczyk U.S. Patent

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No. 6,205,150, and the VanDoren et al. U.S. Patent No. 6,279,084, and in further view of the Hamilton U.S. Patent No. 6,392,993.

The Examiner rejected claims 25, 48-50 under 35 U.S.C. § 103(a) as being unpatentable over the Miller et al. U.S. Patent No. 6,151,696, the Nessett et al. U.S. Patent No. 5,968,176, the Van Loo et al. U.S. Patent No. 6,064,672, the Ruszczyk U.S. Patent No. 6,205,150, the VanDoren et al. U.S. Patent No. 6,279,084, the Hamilton U.S. Patent No. 6,392,993, and in further view of the Miller U.S. Patent No. 5,553,083.

The Examiner rejected claims 28 and 53 under 35 U.S.C. § 103(a) as being unpatentable over the Miller et al. U.S. Patent No. 6,151,696, the Nessett et al. U.S. Patent No. 5,968,176, the Van Loo et al. U.S. Patent No. 6,064,672, and the Ruszczyk U.S. Patent No. 6,205,150, and in further view of the Mallory U.S. Patent No. 6,335,933.

The Examiner rejected claim 45 under 35 U.S.C. § 103(a) as being unpatentable over the Miller et al. U.S. Patent No. 6,151,696, the Nessett et al. U.S. Patent No. 5,968,176, the Van Loo et al. U.S. Patent No. 6,064,672, and the Block U.S. Patent No. 6,192,417 in further view of the VanDoren et al. U.S. Patent No. 6,279,084.

Independent claim 1 has been amended to include the limitations of the communication services (CS) in the source device correlating the independent reliable transport services and verifying that a predetermined percentage of destination application instances (AIs) in the multicast group reliably receives each unit in the first unit of work stream in the expected defined order. Independent claim 29 has been amended to include the limitations of correlating the independent reliable transport services including verifying that a predetermined percentage of destination devices in the multicast group reliable has received each unit in the first unit of work stream in the expected defined order. Amended independent claims 1 and 29 are not taught or suggested by the cited references alone or in combination.

The Examiner admits that the Miller et al. patent does not teach the following limitations of independent claims 1 and 29: communication services/fabric providing communication between the source device and the multiple destination devices; guaranteeing strong ordering of the first unit of work stream received at the corresponding one of the multiple destination devices; first SDR resources at the source device having at least one queue configured to hold transmitted but not acknowledged units of work and not yet

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transmitted units of work; and second SDR resources at the corresponding one of the multiple destination devices having state information including an expected next sequence number value indicating an expected defined order corresponding to a next unit of work to be received; and independent reliable transport services.

As admitted by the Examiner, the Miller et al. patent does not teach independent reliable transport services. Therefore, the Miller et al. patent cannot teach correlating independent reliable transport services as recited in amended independent claims 1 and 29.

As admitted by the Examiner, the Miller et al. patent does not teach guaranteeing strong ordering of the first unit of work stream received at the corresponding one of the multiple destination devices. Therefore, the Miller et al. patent cannot teach verifying that a predetermined percentage of destination AIs in the multicast group reliable receives each unit in the first unit of work stream in the expected defined order as recited in amended independent claims 1 and 29.

The Examiner cites the Van Loo et al. patent for teaching guaranteed strong ordering. The Miller et al. patent, however, teaches away from employing guaranteed strong ordering of the first unit of work stream received at the corresponding one of the multiple destination devices as recited in amended independent claims 1 and 29. First, at column 4, line 66-column 5, line 8, the Miller et al. patent specifically states,

If, after the entire file has been transmitted over the link 24, the negative acknowledgments indicate that certain frames need to be retransmitted over the link 24 (step 12), only those certain frames are retransmitted (step 14). As those certain frames are being retransmitted over the link 24, frame negative acknowledgments from one or more of the recipients 22 are received via the link 24 (step 14.) This process is then repeated as many times as necessary until no more frames need to be retransmitted.

Thus, a defined order provided by guaranteed strong ordering would not work with this scheme disclosed in the Miller et al. patent where the negative acknowledgments indicate that certain frames need to be retransmitted and only those certain frames are retransmitted.

Secondly, the Miller et al. patent, at column 11, line 39-column 12, line 4, further teaches away from guaranteeing strong ordering of the first unit of work stream received at the corresponding one of multiple destination devices. This section of the Miller et al. patent

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teaches that a group threshold parameter may be set by the user as the limit, expressed in percent of drop frames, by a particular client that is allowed for continuing participation in the multicast group. Such a threshold cannot be used with a guaranteed strong ordering of the first unit of work stream received at the corresponding one of multiple destination devices as recited in amended independent claims 1 and 29.

Furthermore, the Van Loo et al. patent teaches away from using strong ordering in a data processing system, such as, claimed in amended independent claim 1 or in a method of processing data, such as claimed in amended independent claim 29.

The Van Loo et al. patent discloses a reliable transport scheme in ringlet networks that employs strong sequential ordering (SSO). To achieve the SSO, the Van Loo et al. system employs certain characteristic features of ringlet networks. In particular, SSO is achieved by a source device in the ringlet indicating a sequence number in each transmitted packet, and the destination devices participating in the SSO scheme keeping track of the sequence numbers in the received packets such that an out-of-sequence packet is identifiable. If an error is identified, all packets received subsequently to the last good packet must be retransmitted to the destination devices in the ringlet. Applicant respectfully points out relevant language in the Van Loo et al. patent beginning at col. 12, line 6, "Fundamental to the proposal is the assumption that *local ringlet transmission is unidirectional and bypasses no nodes*. . . . [a ringlet employing an optional short cut routing feature] would not support SSO ordering."

Although the Van Loo et al. patent discloses the use of SSO for reliable transport, this reference teaches away from multiple SDRs, each SDR implementing an independent reliable transport service between the source device and a corresponding one of the multiple destination devices in the multicast group, as recited in amended independent claims 1 and 29. By contrast, the Van Loo et al. patent teaches essentially a singular transport service such that, as stated beginning at col. 13, line 64, "Once [an error] is detected at the producer node, the SSO state values maintained for this producerId at every node in the ringlet are in question. Each ringlet node's SSO state information for this producerID must be reset, without any change in either the SSO programming space for this producerId or for any other producer node."

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The Examiner cites the Ruszczyk patent to teach the limitations of independent claims 1 and 29 of first SDR resources at the source device having at least one queue for holding transmitted but not acknowledged units of work and not yet transmitted units of work. Applicants, however, respectfully submit that these limitations are not taught or suggested by the Ruszczyk patent. Instead, the Ruszczyk patent teaches, beginning at column 4, line 47, and illustrated in Figure 2, that a first network device determines a scheduling priority for data packets in a first queue. The first network device inserts higher priority data packets into a second queue. The first network device inserts lower priority data packets into a third queue. The first network device schedules higher priority data packets in the second queue where data packets are transmitted as higher priority data packets. The first network device schedules lower priority data packets in the third queue with transmission deadlines where lower priority data packets are executed after higher priority data packets. The first network device promotes a lower priority data packet in the third queue to the second queue when a transmission deadline for the lower priority packet has expired. Thus, these first, second, and third queues disclosed in the Ruszczyk patent hold not yet transmitted units of work, but do not hold transmitted but not acknowledged units of work. Thus, the Ruszczyk patent does not teach or suggest first SDR resources at the source device having at least one queue for holding transmitted but not acknowledged units of work as recited in amended independent claims 1 and 29.

In view of the above, the Miller et al. patent, the Nessett et a. patent, the Van Loo et al. patent, and the Ruszczyk patent do not teach or suggest alone or in combination all of the limitations of amended independent claim 1 or all of the limitations of amended independent claim 29.

In addition, dependent claims 3-28 are allowable as depending from an allowable base claim (claim 1) and are allowable on further independent grounds in view of the novel and nonobvious features and combinations set for therein. Dependent claims 30 and 32-53 are allowable as depending from an allowable base claim (claim 29) and are allowable on further independent grounds in view of the novel and nonobvious features and combinations set forth therein.

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Therefore, Applicants respectfully request reconsideration and withdrawal of the 35 U.S.C. § 103(a) rejections to claims 1, 3-30, and 32-53, and request allowance of these claims.

CONCLUSION

In view of the above, Applicant respectfully submits that pending claims 1, 3-30, and 32-53 are in form for allowance and are not taught or suggested by the cited references. Therefore, reconsideration and withdrawal of the rejections and allowance of claims 1, 3-30, and 32-53 is respectfully requested.

No fees are required under 37 C.F.R. 1.16(h)(i). However, if such fees are required, the Patent Office is hereby authorized to charge Deposit Account No. 08-2025.

The Examiner is invited to contact the Applicant's representative at the below-listed telephone numbers to facilitate prosecution of this application.

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Any inquiry regarding this Amendment and Response should be directed to either Patrick G. Billig at Telephone No. (612) 573-2003, Facsimile No. (612) 573-2005 or William P. O'Meara at Telephone No. (970) 898-7917, Facsimile No. (970) 898-7247. In addition, all correspondence should continue to be directed to the following address::

Hewlett-Packard Company Intellectual Property Administration P.O. Box 272400 Fort Collins, Colorado 80527-2400

Respectfully submitted,

Michael R. Krause et al.,

By their attorneys,

DICKE, BILLIG & CZAJA, PLLC Fifth Street Towers, Suite 2250 100 South Fifth Street Minneapolis, MN 55402

Telephone: (612) 573-2003 Facsimile: (612) 573-2005

Date: 12-19-05

PGB:cmi

Patrick G. Billig

Reg. No. 38,080

<u>CERTIFICATE UNDER 37 C.F.R. 1.8</u>: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope address to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this <u>17 day of December</u>, 2005.

Name: Patrick G. Billig